

# On the complexity of strings and the power of randomized algorithms

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University of Bucharest, March 28, 2014

# Randomized Algorithms

- Cooking: sauteing onions.
- Polling
- Monte Carlo algorithms in numerical analysis
- Sorting and searching, combinatorial algorithms, primality checking, ....

# BIG QUESTION (we'll try some answers later)

- **Is randomness intrinsically useful?**
- Yes, sure: Game Theory, Cryptography (randomness is in the model)
- What about computational tasks? Is there a computational task that can be solved with randomness, but cannot be solved without?  
(Computational task: Given an input  $x$ , find a solution  $y$  that satisfies a predicate  $P(x, y)$ )

# Is randomness useful for computational tasks?

- Common perception: “What can be done using randomness, can also be done without, but maybe slower.”
- It is now believed that  $P = BPP$ .
- If the solution of the task is unique, then we can find it by deterministic simulation.
- [de Leeuw, Moore, Shannon, Shapiro'56] If a function can be computed with probability  $\alpha > 0$ , then it is computable.

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- Kolmogorov complexity of a string = the length of the shortest algorithm that can generate it.  
Notation:  $C(x)$ .
- If  $|x| = n$ , then  $C(x) \leq n + O(1)$ .  
The number of strings with complexity less than  $k$  is at most  $2^k$ .

## Is randomness useful for computational tasks (2)?

- Task: Input  $n$ , Find an  $n$ -bit string  $x$  with  $C(x) \geq n/2$ .
- Not computable, but if we toss a coin  $n$  times, we get what we want.
- This example “showing” the usefulness of randomness is trivial and unconvincing.
- The non-computability of output comes directly from non-computability of the random coins.

# The really interesting questions:

Are there **non-trivial** tasks solvable with randomness, but not solvable without?

If YES, how **little** randomness is needed to solve a **non-trivial** task?

# List approximation for short programs

- $U$  - universal TM,  $U(p) = x$ , we say  $p$  is a program for  $x$ .
- $C(x) = \min\{|p| \mid p \text{ program for } x\}$ .
- $C(x)$  - canonical example of an **uncomputable** function.
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- **Question:** Is it possible to compute a short list containing a short program for  $x$ ?
  
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- DEFINITION.  $p$  is a  $c$ -short program for  $x$  if  $U(p) = x$  and  $|p| \leq C(x) + c$ .
- DEFINITION. A function  $f$  is a list approximator for  $c$ -short programs if  $\forall x, f(x)$  is a finite list containing a  $c$ -short program for  $x$ .



# Results from [BMVZ]

- There exists a computable list approximator  $f$  for  $O(1)$ -short programs, with list size  $O(n^2)$ .
- For any computable list approximator for  $c$ -short programs, list size is  $\Omega(n^2/(c+1)^2)$ .
- There exists a **poly.-time computable** list approximator for  $O(\log n)$ -short programs, with list size  $\text{poly}(n)$ .

## Results from [BMVZ 2013]

What about lists containing a shortest program?

Answer: It depends on the universal machine.

- For some  $U$ , any computable list containing a shortest program for  $x$  has size  $2^{n-O(1)}$ .
- For some  $U$ , there is a computable list of size  $O(n^2)$  containing a shortest program.

## Results after [BMVZ 2013]

[Teutsch] There exists a poly.-time computable list approximator for  $\Theta(\log n)$   $O(1)$ -short programs, with list size  $\text{poly}(n)$ .

See also [Z]: Short lists with short programs in short time - a short proof.

[BZ] There exists a randomized computable list approximator for  $\Theta(1)$   $O(\log n)$ -short programs, with list size  $n^2$ .

Lower Bounds: The parameters are essentially optimal.

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## Theorem

*There exists an algorithm that*

*Input:  $x \in \{0, 1\}^n$ ,  $k \in \mathbf{N}$ ,  $\delta > 0$*

*Output: list of size  $\text{poly}(n/\delta)$ , each element of length  $k + O(\log(n/\delta))$*

*If  $k = C(x)$  then  $(1 - \delta)$  of the elements are programs for  $x$ .*

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*(each element of the list printed in poly time).*

From here we get the  $n$ -sized list containing a short program for  $x$  with prob.

$(1 - \delta)$ :

Run the algorithm for each  $k = 1, 2, \dots, n$  and pick one random element from each list.

**Key tool:** bipartite graphs  $G = (L, R, E \subseteq L \times R)$  with the **rich owner** property:

For any  $B \subseteq L$  of size  $|B| \approx K$ , most  $x$  in  $B$  own most of their neighbors (these neighbors are not shared with any other node from  $B$ ).



- $x \in B$  owns  $y \in N(x)$  w.r.t.  $B$  if  $N(y) \cap B = \{x\}$ .
- $x \in B$  is a rich owner if  $x$  owns  $(1 - \delta)$  of its neighbors w.r.t.  $B$ .
- $G = (L, R, E \subseteq L \times R)$  has the  $(K, a, \delta)$ -rich owner property if for all  $B$  with  $K \leq |B| \leq a \cdot K$ ,  $(1 - \delta)$  of the elements of  $B$  are rich owners w.r.t.  $B$ .

## Theorem

There exists a computable (uniformly in  $n$ ) graph with the rich owner property for  $(2^k, a = O(1), \delta)$  with:

- $L = \{0, 1\}^n$
- $R = \{0, 1\}^{k+O(\log(n/\delta))}$
- $D(\text{left degree}) = \text{poly}(n/\delta)$

Similar for poly-time  $G$  but overhead for  $R$  is  $O(\log^2(n/\delta))$  and  $D = 2^{O(\log^2(n/\delta))}$ .

We obtain our lists:

- List for  $x$ :  $N(x)$
- Any  $p \in N(x)$  owned by  $x$  w.r.t.  $B = \{x' \mid C(x') \leq k\}$  is a program for  $x$ .

How to construct  $x$  from  $p$ : Enumerate  $B$  till we find an element that owns  $p$ . This is  $x$ .

# Building graphs with the rich owner property

- Step 1: most neighbors of  $x$  are shared with only  $\text{poly}(n)$  many other nodes.
- Step 2: most most neighbors of  $x$  are shared with no other nodes.

Step 1 is done with extractors that have small entropy loss.

Step 2 is done by hashing.

## extractors

$E : \{0,1\}^n \times \{0,1\}^d \rightarrow \{0,1\}^m$  is a  $(k, \epsilon)$ -extractor if for any  $B \subseteq \{0,1\}^n$  of size  $|B| \geq 2^k$  and  $X$  unif. distrib in  $B$ , and for any  $A \subseteq \{0,1\}^m$ ,

$$|\text{Prob}(E(X, U_d) \in A) - \text{Prob}(A)| \leq \epsilon,$$

or in other words

$$\left| \frac{|E(B, A)|}{2^k \cdot 2^d} - \frac{|A|}{2^m} \right| \leq \epsilon$$

The entropy loss is  $s = k + d - m$ .

# Step 1

**GOAL** :  $\forall B \subseteq L$  with  $|B| \approx K$ , most nodes in  $B$  share most of their neighbors with only  $\text{poly}(n)$  other nodes from  $B$ .

We can view an extractor  $E$  as a bipartite graph  $G_E$  with  $L = \{0, 1\}^n$ ,  $R = \{0, 1\}^m$  and left-degree  $D = 2^d$ .

If  $E$  is a  $(k, \epsilon)$ -extractor, then for any  $B \subseteq L$  of size  $|B| \approx 2^k$ :

most  $x \in B$  share most of their neighbors with only  $O(1/\epsilon \cdot 2^s)$  other nodes in  $B$ .

By the probabilistic method: There are extractors with entropy loss  $s = O(\log(1/\epsilon))$  and log-left degree  $d = O(\log n/\epsilon)$ .

[Guruswami, Umans, Vadhan, 2009] Poly-time extractors with entropy loss  $s = O(\log(1/\epsilon))$  and log-left degree  $d = O(\log^2 n/\epsilon)$ .

So for  $1/\epsilon = \text{poly}(n)$ , we get our GOAL.

## Step 2

**GOAL: Reduce sharing most neighbors with  $\text{poly}(n)$  other nodes, to sharing them with no other nodes.**

Let  $x_1, x_2, \dots, x_{\text{poly}(n)}$  be  $n$ -bit strings.

Consider  $p_1, \dots, p_T$  the first  $T$  prime numbers, where  $T = (1/\delta) \cdot n \cdot \text{poly}(n)$ .

For every  $x_i$ , for  $(1 - \delta)$  of the  $T$  prime numbers,  $(x_i \bmod p)$  is unique in  $(x_1 \bmod p, \dots, x_{\text{poly}(n)} \bmod p)$ .

In this way, by "splitting" each edge into  $T$  new edges we reach our GOAL.

Cost: overhead of  $O(\log n)$  to the right nodes and the left degree increases by a factor of  $T = \text{poly}(n)$ , .

# Lower bounds for probabilistic list approximation

parameters of interest:

- $T$  = size of the list
- $r$  = number of random bits
- $c = |\text{short program}| - |\text{shortest program}|$ .

Main result:  $T = n$ ,  $r = O(\log n)$ ,  $c = O(\log n)$ .

Lower bounds: essentially, no parameter can be reduced while conserving the other two.

## lower bound on $r$

- $T$  = size of the list
- $r$  = number of random bits
- $c = |\text{short program}| - |\text{shortest program}|$ .

If  $T = n$  and  $c = O(\log n)$ , then  $r > \log n - O(\log \log n)$ .

Proof. If  $r$  would be smaller, we would deterministically get a list of size  $< n^2/c^2$ , contradicting the lower bound [BMVZ].



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Proof

$L_\rho$  = list when randomness is  $\rho$ .

$\mathcal{P}$  = set of  $c$ -short programs for  $x$ .  $\ell = |\mathcal{P}| = O(2^c)$ .

- At least half of the lists  $L_\rho$ ,  $\rho \in \{0, 1\}^r$  contain an element of  $\mathcal{P}$ .
- So some element of  $\mathcal{P}$  appears in  $1/2\ell$  of the lists.
- For each  $m = 1, 2, \dots, n$ , select strings of length between  $m$  and  $m + c$  appearing in  $1/2\ell$  of the lists. A  $c$ -short program will be here.
- Let  $s_m$  be the number of elements selected at iteration  $m$ . The elements selected at iteration  $m$  occur at least  $s_m \cdot \frac{2^r}{2\ell}$  times.
- So

$$2^r \cdot T \geq s_1 \cdot \frac{2^r}{2\ell} + s_2 \cdot \frac{2^r}{2\ell} + \dots + s_n \cdot \frac{2^r}{2\ell}.$$

- So,  $s_1 + s_2 + \dots + s_n \leq T \cdot 2\ell$ .
- By [BMVZ] lower bound, the total number of selected elements is  $\Omega(n^2/c^2)$
- So  $T \cdot 2\ell = \Omega(n^2/c^2)$ , and the conclusion follows.

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Proof. If  $T$  were smaller, we could obtain a list of lengths of sublinear size containing  $C(x)$ . Contradicts lower bound from [Beigel et al. , 2006].

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# Lower bounds for deterministic list approximation

Lower bound

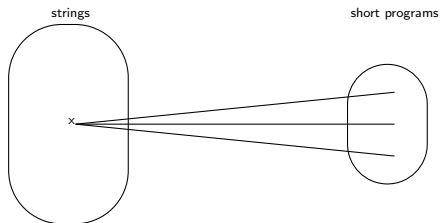
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Bipartite graphs with online matching with overhead  $c$ .



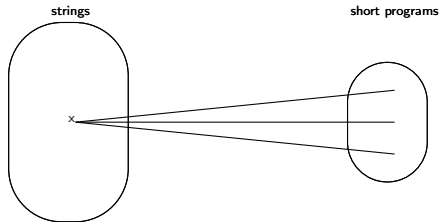


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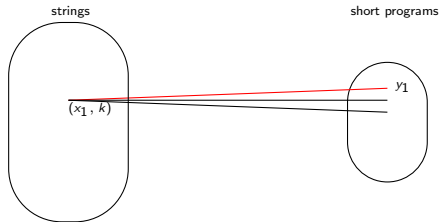
- matching requests arrive one by one
- request  $(x, k)$ : match  $x \in LEFT$  with a free node  $y \in N(x)$  s.t.  $|y| \leq k + c$ .
- Promise:  $k \leq |x|$  and  $\forall k$  there are  $\leq 2^k$  requests  $(*, k)$ .
- Requirement: all requests should be satisfied online (before seeing the next request).

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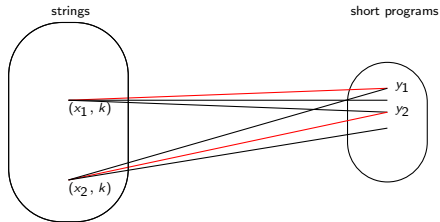
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# Short lists - combinatorial characterization

## Theorem

$\exists$  (poly time) computable  $G$  with on-line matching with overhead  $c$  and the matching strategy is computable

IFF (almost)

$\exists$  (poly time) computable list of size  $\deg(x)$  containing a  $c + O(1)$ -short program for  $x$

Proof. ( $\Rightarrow$  only)

- Enumerate strings in  $\text{LEFT}(G)$  as they are produced by  $U$ .
- Say, at step  $s$ ,  $U(q) = x$ , with  $|q| = k$ .
- Make request  $(x, k)$ .
- $x$  is matched with  $y$  of length  $k + c$ .
- $y$  is a program for  $x$ .
- Why: on input  $y$ , re-play the matching process till some left string is matched to it; output this left string, which will be  $x$ .

So when  $q$  is the shortest program for  $x$ , we get a program for  $x$  of length  $C(x) + c$ .

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The list consists of  $x$ 's neighbors in  $G$ .

## Lower bounds - 2

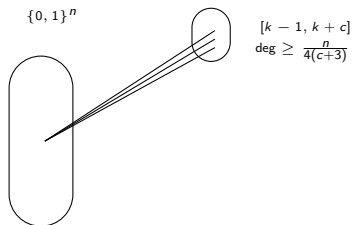
Th. If a computable list contains a  $c$ -short program for  $x$ , then its size is  $\Omega(n^2/(c + O(1))^2)$ .

- $x \rightarrow$  list  $f(x) = \{y_1, \dots, y_t\}$  contains a  $c$ -short program for  $x$ .
- bipartite  $G$ :  $LEFT = \{0, 1\}^n$ ,  $\forall x \in LEFT$ ,  $(x, y_i) \in E$  for all  $y_i \in f(x)$ .
- $G$  has on-line matching with overhead  $c \Rightarrow$  also has off-line matching with overhead  $c$ .
- $G[\ell, k]$  is  $G$  from which we cut right nodes  $y$  with  $|y| < \ell$  or  $|y| > k$ .
- $\forall k$ ,  $G[0, k + c]$  is  $(2^k, 2^k)$ -expander
- So,  $\forall k$ ,  $G[k - 1, k + c]$  is  $(2^k, 2^{k-1} + 1)$ -expander.

### LEMMA

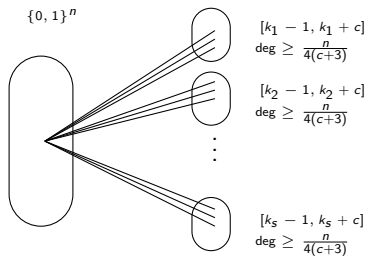
Graph  $G$  has  $|LEFT| = 2^\ell$ ,  $|RIGHT| = 2^{k+c}$ , and is a  $(2^k, 2^{k-1} + 1)$ -expander. Then  $\exists x \in LEFT$  with  $\deg(x) \geq \min(2^{k-2}, \frac{\ell-k}{c+2})$ .

- Take  $k \in (n/4, n/2]$ . By LEMMA (with  $\ell = 3n/4$ ), in  $G[k - 1, k + c]$ , all  $x \in \text{LEFT}$ , except  $2^{3n/4}$ , have  $\text{deg}(x) \geq \frac{n}{4(c+3)}$ .





- Take  $k \in (n/4, n/2]$ . By LEMMA (with  $\ell = 3n/4$ ), in  $G[k-1, k+c]$ , all  $x \in LEFT$ , except  $2^{3n/4}$ , have  $\deg(x) \geq \frac{n}{4(c+3)}$ .
- Pick  $n/4 < k_1 < k_2 < \dots < k_s < n/2$ , and  $(c+2)$  apart from each other;  $s \approx \frac{n}{4(c+2)}$ .
- In each  $G[k_i-1, k_i+c]$ , all left nodes, except  $2^{3n/4}$ , have  $\deg \geq \frac{n}{4(c+3)}$ .
- The RIGHT sets are disjoint.
- So,  $\exists x \in LEFT$ , with  $\deg(x) \geq s \cdot \frac{n}{4(c+3)} = \Omega\left(\frac{n^2}{(c+3)^2}\right)$ .



# SUMMARY

- One can compute a  $O(n^2)$ -sized list containing a  $O(1)$ -short program.
- Any computable list containing a  $c$ -short program has size  $\Omega(n^2/(c+1)^2)$ .
- One can probabilistically compute an  $n$ -sized list containing a  $O(\log n)$ -short program. Parameters are essentially optimal.
- One can probabilistically compute in polynomial time an  $n$ -sized list containing a  $O(\log^2 n)$ -short program.
- One can compute in poly time a  $\text{poly}(n)$ -sized list containing a  $O(1)$ -short program.

# Back to our BIG QUESTIONS

Are there **non-trivial** tasks solvable with randomness, but not solvable without?

If YES, how **little** randomness is needed to solve a **non-trivial** task?

# Back to our BIG QUESTIONS

Are there **non-trivial** tasks solvable with randomness, but not solvable without?

If YES, how **little** randomness is needed to solve a **non-trivial** task?

Task: Given  $x \in \{0, 1\}^n$  compute a list of  $n$  elements that contains an  $(O \log n)$ -short program for  $x$ .

The task is not solvable deterministically (recall the  $\Omega(n^2/c^2)$  lower bound for  $c$ -short programs [BMVZ]).

The task can be done probabilistically, with prob. error  $\delta$ .

The number of random bits is  $O(\log n/\delta)$ .

The similar task for  $(O \log^2 n)$ -short program for  $x$  can be solved in probabilistic polynomial time with  $O(\log^2 n)$  random bits.

# Open Question

Are there non-trivial task that can be solved with  $o(\log n)$  random bits, but cannot be solved deterministically?

Task: Defined by a predicate  $P$ . Given  $x$  find a “solution”  $y$  such that  $P(x, y)$  is true.

The task is **trivial** if for some very simple function  $g$ ,  $g(x, r)$  is a solution for most  $r$

“very simple function”: projection + permutation (or maybe  $NC_0$ ).

Multumesc pentru atentie.